

A METHOD FOR PROVIDING PELVIC ORIENTATION  
INFORMATION IN COMPUTER-ASSISTED SURGERY

CROSS-REFERENCE TO RELATED APPLICATION

This application claims priority of United  
5 States Patent Application No. 60/415,809, filed on  
October 4, 2002 by the present Applicants, the  
subject matter of which is incorporated herein by  
reference. This application also claims priority of  
United States Patent Application No. 60/465,805,  
10 filed on April 28, 2003 by the present Applicants,  
the subject matter of which is incorporated herein by  
reference.

BACKGROUND OF THE INVENTION

1. Field of the Invention

15 The present invention generally relates to  
hip-replacement surgery using a computer-assisted  
surgery (CAS) system and, more particularly, to a  
method for orienting an acetabular implant for a CAS  
system.

20 2. Background Art

In hip-replacement surgery, optimal  
orientation of the pelvic prosthesis implant (also  
known as "acetabular implant" and "acetabular cup")  
in the acetabulum reduces the risks of limb length  
25 discrepancy and dislocation due to implant  
impingement.

In order to guide the surgeon in setting  
the acetabular implant in an optimal position, a CAS  
system provides position and orientation information  
30 during the operative steps. The information may be

provided in the form of values including anteversion and inclination.

The anteversion and inclination values are related to a frame of reference of a patient. A  
5 frame of reference is defined preoperatively or intraoperatively, and this frame of reference is used as a reference for the anteversion and inclination values.

Various methods have been described to  
10 define frames of reference, some of which include forming digital planes on a pelvis from points digitized at predetermined landmarks. In the latter case, a certain level of reproducibility is attained from patient to patient as the predetermined  
15 landmarks are present on every patient. This level of reproducibility allows anteversion and inclination values to be compared from patient to patient, and surgeons may set the acetabular implant orientation of a patient within ranges of orientation he/she is  
20 familiar with.

The frames of reference currently used in CAS do not take into account the pelvic orientation with respect to the natural postures. For instance, a patient may have an abnormal pelvic orientation  
25 when standing straight, when lying. The pelvic orientation or pelvic tilt will have a direct effect on the actual anteversion and inclination values of the patient once the patient takes these postures.

#### SUMMARY OF INVENTION

30 It is an aim of the present invention to provide a computer-assisted surgery system for guiding a surgeon in inserting a pelvic implant as a function of a patient posture.

It is a further aim of the present invention to provide a method for inserting a pelvic implant as a function of the patient posture.

It is a still further aim of the present invention to provide a method for associating the patient posture to a frame of reference of the pelvis.

It is a still further aim that the methods of the present invention provide precision and accuracy in orienting the pelvic implant.

Therefore, in accordance with the present invention, there is provided a computer-assisted surgery system for guiding an operator in altering a pelvis for a subsequent insertion of a pelvic implant, comprising: a sensing apparatus adapted to track a reference tool securable to the pelvis and a bone altering tool for position and orientation; a position calculator connected to the sensing apparatus for calculating a position and orientation of a pelvic frame of reference as a function of the position and orientation of the reference tool, and for calculating a position and orientation of the bone altering tool with respect to the frame of reference when altering the pelvis; a source of posture data; a posture data correction calculator operative to provide a display of information allowing an operator to take into consideration said posture data from the source of posture data when altering the pelvis; and a display unit connected to the position calculator and to the posture data correction calculator for displaying said display of information and the position and orientation of the bone altering tool with respect to the pelvic frame of reference.

Further in accordance with the present invention, there is provided a method for guiding an

operator in altering a pelvis or a subsequent insertion of a pelvic implant in computer-assisted surgery, comprising the steps of: creating a frame of reference related to geometry information of a pelvis, the frame of reference being trackable for position and orientation; obtaining a pelvic orientation relating to a given posture of the patient with respect to the frame of reference; and altering the acetabulum for a subsequent insertion of the pelvic implant in the acetabulum by presenting information about a current implant orientation with respect to said pelvic orientation, the current implant orientation being calculated as a function of a tracking of a surgical tool altering the acetabulum for receiving the pelvic implant, and of the frame of reference.

Still further in accordance with the present invention, there is provided a method for associating a frame of reference of a pelvis to a given posture of a patient in computer-assisted surgery, comprising the steps of: creating a frame of reference of a pelvis by registering points on the pelvis with respect to a trackable reference; positioning the patient in a given posture with respect to a plane of reference; and digitizing the plane of reference with respect to the trackable reference such that orientation information associating the frame of reference to the given posture is calculable as a function of the orientation of the plane of reference.

Still further in accordance with the present invention, there is provided a computer-assisted surgery system for performing total hip replacement surgery, the system comprising at least one tracked instrument, characterized in that said system further comprises a module taking input data

relating to landmark/anatomical reference positions at points on a patient's body from said tracked instrument to calculate a pelvic orientation with respect to a patient posture.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

Having thus generally described the nature of the invention, reference will now be made to the accompanying drawings, showing by way of illustration a preferred embodiment thereof and in which:

10 Fig. 1 is a block diagram of a computer-assisted surgery system for guiding an operator in inserting a pelvic implant in an acetabulum in accordance with an embodiment of the present invention;

15 Fig. 2 is a frontal view of a pelvis with landmarks used with the methods of the present invention; and

Fig. 3 is a flowchart illustrating a method for associating the patient posture to a frame of reference of the pelvis in accordance with the  
20 present invention.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

### THE COMPUTER-ASSISTED SURGERY SYSTEM

The present invention operates with a  
25 typical computer-assisted surgery system. Accordingly, a computer-assisted surgery system is now described for reference purposes, and suitable variants thereof can be used to perform the method of the present invention.

30 Referring to Fig. 1, a computer-assisted surgery system is generally shown at 10 (hereinafter "CAS system 10"), and generally consists of a CAS position calculator 12 connected to sensor apparatus

14. The sensor apparatus 14 tracks trackable tools  
16 actively or passively for position and  
orientation, such as a registration pointer, a  
reference tracker, a reamer or an impactor, whose  
5 respective geometries are digitally known, so as to  
be associated, for instance, to a digital model of an  
acetabular implant (i.e., a pelvic implant). The  
position calculator 12 is typically a PC unit, with a  
calculator device, that has a database 13 and user  
10 interfaces (e.g., display interface 15) by which a  
surgeon will receive or send information that will  
guide him/her during surgery. For instance,  
monitors, keyboard, mouse and foot pedals are a few  
of the user interfaces that can be provided with the  
15 position calculator 12.

The CAS system 10 also has a posture data  
correction calculator 19, that is connected to the  
position calculator 12 and will determine the pelvic  
orientation value (i.e. posture data) in order to  
20 inform the surgeon/operator of the posture of the  
patient.

The registration pointer of the tools 16  
has a tip of known configuration such that a position  
of a point at the tip is calculable as a function of  
25 a position and orientation tracking of the  
registration pointer, using geometry information of  
the registration pointer. The registration pointer  
is thus used to register the position of points. A  
reference tracker 18 is secured to objects (e.g., a  
30 pelvis) that will be registered such that the points  
that are registered with the registration pointer  
have a trackable reference.

REFERENCE COORDINATE SYSTEM (FRAME OF  
REFERENCE)

35 In hip-replacement surgery involving a CAS  
system such as the one described as 10 above, a

reference coordinate system is defined for the pelvis so as to guide the surgeon in the implanting of the acetabular cup. Various methods have been described to define the reference coordinate systems on the pelvis. For instance, U.S. Patent Application No. 60/415,809, upon which the present application claims priority, describes a method of creating a pelvic frontal plane of a patient, by registering three reference points on predetermined parts of the pelvis, to render this method reproducible.

More specifically, referring to Figs. 1 and 2, the method involves anchoring the reference tracker 18 to a pelvis 20. Thereafter, three points are registered on the pelvis 20 using the registration pointer 16. In a preferred embodiment of U.S. Patent Application No. 60/415,809, these three points are outermost points 22 and 24 of the anterior-superior iliac spines, and one outermost point 26 of either one of the pubic tubercles, with respect to the reference tracker 18. The CAS system 10 uses the points 22, 24 and 26 to define a frontal plane of the pelvis with respect to the reference tracker 18. The sagittal and transverse planes are then calculated as a function of the frontal plane and other landmarks registered on the patient.

A medio-lateral axis 28 is defined by the position calculator 12 as passing through the outermost points 22 and 24 of the anterior-superior iliac spines, or as parallel to a segment passing through the outermost points 22 and 24 of the anterior-superior iliac spines, as illustrated in Fig. 2. A longitudinal axis 30 is defined by the position calculator 12 as being perpendicular to the medio-lateral axis 28 while lying in the frontal plane.

#### PELVIC ORIENTATION/POSTURE DATA CORRECTION

The present invention relates the reference coordinate system of the pelvis to a pelvic orientation of the patient, so as to provide the surgeon with pelvic orientation information during  
5 surgery.

In the present invention, the pelvic orientation (also referred to as posture data hereinafter) is defined as the orientation of the pelvis with respect to a universal reference. The  
10 universal reference is a reference that can be used for every patient, whereby the pelvic orientation will be comparable between patients.

Therefore, in the present invention, the pelvic orientation is quantified, whereby abnormal  
15 pelvic orientations will be a known factor in hip replacement surgery.

More specifically, in a preferred embodiment, the CAS system 10 of the present invention provides medio-lateral and anterior-posterior orientation values of the pelvis 20 with  
20 respect to a reference plane associated with the posture of the patient, such as the OR table. Referring concurrently to Figs. 1 and 3, a method for associating the frame of reference of the pelvis to  
25 the posture of the patient is generally shown at 50.

In Step 52, a frame of reference of the pelvis 20 is created. In the preferred embodiment of the present invention, planes are created by registering points on the pelvis 20, as described  
30 above. It is alternatively contemplated to obtain CT scans of the pelvis 20 and create a digital model or a coordinate system therefrom to obtain a pelvic frame of reference.

In Step 54, the patient is positioned on a  
35 reference surface such that a posture of the patient is obtained, in which a predetermined pelvic



orientation is exposed. In a preferred embodiment, the patient lies on an OR table 32 (Fig. 2), and the surface of the OR table 32 can be used to define the plane of reference associated with the patient posture and the pelvic orientation.

The use of the OR table 32 (Fig. 2) is advantageous in that its plane of reference supports the patient on his/her back, and thus simulates a frontal plane of the patient, this frontal plane being reproducible for each patient. Accordingly, inter-patient comparisons can be made relating to this frontal plane, i.e., the plane of reference of the OR table 32 (Fig. 2).

In Step 56, the plane of reference is digitized. The reference plane is defined by registering three nonlinear points on the surface of the OR table 32 (Fig. 2) as a function of the reference tracker 18, using, for instance, the registration pointer from amongst the tools 16. For instance, these three nonlinear points are illustrated as points 34, 36 and 38 in Fig. 2.

The above-described Steps 54 and 56 are associated in a global Step 56 related to a preferred embodiment of the present invention, in which a plane of reference is used to obtain pelvic orientation information.

Alternatively, the pelvic orientation can be used using markers, from amongst the tools 16, pre-operatively or intraoperatively on various patient landmarks (e.g., in addition to the pelvis, the legs, the back, the spine, etc...). In Step 55, the pelvic orientation is obtained and related to the reference coordinate system).

Following the alternative routes of Step 53, the CAS system 10 will have the orientation information necessary to associate the pelvic

orientation (through the plane of reference) to the frame of reference of the pelvis 20, as shown in Step 58. As an example, the posture data correction calculator 19 can calculate orientation values of the pelvis 20 with respect to the medio-lateral axis 28 and the longitudinal axis 30, as a function of the plane of reference (e.g., OR table 32 of Fig. 2).

The medio-lateral orientation value is defined by the posture data correction calculator 19 as the inclination of the pelvis 20 on the longitudinal axis 30, and is calculated as the angle between the medio-lateral axis 28 and the OR table 32, as digitized previously. More specifically, the normal to the OR table 32 is projected onto the transverse plane of the reference coordinate system of the pelvis, and the angle between this projection and, for instance, the medio-lateral axis 28 or an intersection of the sagittal plane and the transverse plane of the reference coordinate system of the pelvis, will be the inclination value. A dynamic label (e.g., lateral right/lateral left) will identify the orientation values.

The anterior-posterior orientation value is defined by the posture data correction calculator 19 as the inclination of the pelvis 20 on the medio-lateral axis 28, and is calculated as the angle between the longitudinal axis 30 and the OR table 32, as digitized previously. This angle can be calculated by projecting the normal to the OR table 32 (i.e., the reference plane) on the sagittal plane, and measuring, for instance, the angle between the projection and the intersection of the transverse and sagittal planes of the reference coordinate systems of the pelvis. A dynamic label (e.g., anterior/posterior) will identify the orientation value.

These orientation values relating the patient posture to the frame of reference are usable in a number of ways in accordance with the present invention.

5 More specifically, the pelvic orientation information can be used by the CAS system 10 (through display 15) to guide the surgeon in inserting the acetabular implant. When a bone altering tool (such as a reamer) from amongst the tools 16 is tracked  
10 with respect to the reference tracker 18 to provide the current implant position and orientation in real time, the pelvic orientation values can be used to provide a relation between the current implant orientation and the patient posture.

15 For instance, orientation information about a reamer is displayed to the surgeon by the CAS system 10 in the form of anteversion and inclination values. In a preferred embodiment of the present invention, relating to U.S. Patent Application  
20 No. 60/415,809, the anteversion is the angle between an intersection of the pelvic frontal plane and the pelvic transverse plane, and a projection of a longitudinal axis of the reamer on the transverse plane. The inclination is the angle between the  
25 reamer axis and the cranial-caudal axis on the pelvic sagittal plane. The anterior-posterior orientation value can be used in the calculation of the anteversion and of the inclination, such that the anteversion and the inclination are related to the  
30 pelvic orientation, and hence to the patient posture.

For example, the anterior-posterior orientation value will be used to bring the anteversion to the plane of reference. This is performed in a preferred embodiment of the present  
35 invention by relating the anterior-posterior orientation value of the pelvis to the anteversion

such that the anteversion is as a function of the patient posture rather than as a function of the reference coordinate system of the pelvis. For this calculation, a second reference coordinate system is created, in which the reference plane (e.g., the OR table 32) is the frontal plane. In other words, the frontal plane of the second reference coordinate system is the frontal plane of the initial reference coordinate system rotated about the medio-lateral axis 28 by the anterior-posterior orientation value. This second reference coordinate system may have its frontal plane rotated about the longitudinal axis 30 by the medio-lateral orientation value, starting from the frontal plane of the initial reference coordinate system. In the case of the inclination, the cranial-caudal axis will be adjusted from the pelvic frontal plane to the plane of reference using the anterior-posterior orientation value.

Therefore, in the surgical steps of the alteration of the acetabulum, the current implant orientation, provided through anteversion and inclination values of the bone altering tools, will take into account the posture of the patient, and hence the pelvic orientation. Advantageously, patient-to-patient comparisons will be possible when taking into account the pelvic orientation of the patient.

Alternatively, the anterior-posterior and the medio-lateral orientation values can be used to visually adjust the anterior-posterior and medio-lateral views of the pelvis through the display interface 15 of the CAS system 10. In these views, the graphic representation of the pelvis could be oriented to represent the pelvic orientation at the given posture, serving as a visual aid in guiding the

system, and is illustrated broadly by source of posture data 17 in Fig. 1.

The orientation values can also be used, for instance, by the surgeon as a patient-to-patient comparison basis for typical frontal plane inclination. The orientation values may also be used as a comparison basis with the CT scan of the pelvis 20, usually taken preoperatively. The CT scan is taken with the patient lying on the CT-scan table, and thus the orientation values that will be described hereinbelow can be compared to the CT scan as the patient lies on the OR table 32 when the orientation values are calculated.

The above-described method measures the orientation values of the pelvis 20 with respect to the reference tracker 18 that is fixed to the pelvis 20. Therefore, the orientation values are temporary, as they are fixed in time at the moment the OR table 32 is digitized. It is, therefore, contemplated to provide a reference tracker 34 on the OR table 32, with the three points digitized on the OR table 32 being registered as a function of the reference tracker 34 rather than the reference tracker 18. This provides the advantage of giving real-time orientation values, rather than a picture of the orientation values.

It is contemplated to compute the reference coordinate system of the patient using other methods to obtain the medio-lateral axis 28 and the longitudinal axis 30. The orientation values measured thereafter using the method of the present invention will be relative to the method used to define the reference coordinate system of the patient. Alternatively, the pelvic orientation information can be obtained using other methods. For instance, a pelvic frame of reference can be

digitized as a function of other bodily elements of the patient providing some information about the orientation of this pelvic frame of reference. Also, noninvasive markers can be positioned onto the skin  
5 in the pelvis area, and pelvic orientation information can be obtained from a standing posture of the patient, with these steps being performed preoperatively. Such a method is described in U.S. Patent No. 6,514,219, issued on February 4, 2003, to  
10 Guimond et al.